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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Guo Liu  
FOR : **ALUMINUM NITRIDE PASSIVATED PHOSPHORS  
FOR ELECTROLUMINESCENT DISPLAYS**  
SERIAL NO. : 10/736,020  
FILED : December 15, 2003  
EXAMINER : THOMPSON, Camie  
ART UNIT : 1744  
CONFIRMATION NO. : 4169  
ATTORNEY DOCKET NO. : **SMBZ 2 01016 (6865-312)**

**SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

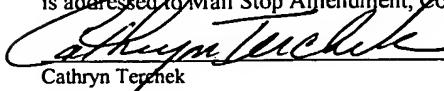
In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is (are) aware. A copy of PTO/SB/08 Form (renumbered from 1449) is enclosed.

This Information Disclosure Statement should not be construed to be an admission that any information referred to herein or submitted herewith is "prior art" or is considered to be material to patentability for this invention.

The United States Patent and Trademark Office OG Notice dated 12 October 2004 published a final rule revising 37 C.F.R. 1.98 dealing with the content of Disclosure Statements.

**CERTIFICATE OF FIRST CLASS MAILING**

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as First Class Mail service and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

  
Cathryn Terenek

Date: September 28 2006

Paragraph (a)(2) was revised to read in part, "A legible copy of: (i) Each foreign patent; (ii) Each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office." Therefore, Applicant(s) has (have) not enclosed copies of the cited U.S. patents and published patent applications with this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), a concise explanation of relevance is required for information that is not in the English language. Accordingly, the English language documents have no further explanation.

All of the cited and/or included documents were cited by the European Patent Office in a related application(s).

Under § 1.97(c)(1), this information shall be considered if filed before the mailing date of a final action, or a Notice of Allowance or action that otherwise closes prosecution in the application.

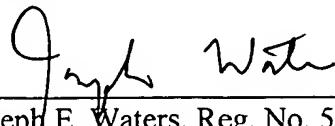
Under § 1.97(e)(1), the undersigned states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Although it is believed no fee is necessary, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0308.

It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

Sept 28, 2006  
Date

  
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216-861-5582



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)</b>		<i>Complete if Known</i>	
		Application Number	10/736,020
		Filing Date	December 15, 2003
		First Named Inventor	Guo Liu
		Art Unit	1774
		Examiner Name	Thompson, Camie
Sheet 1 of 1		Attorney Docket No.	SMBZ 2 01016 6865-312

#### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document No. Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
AA	US-5,372,839		12/13/1994	Mikami, et al.
AB	US-5,714,274		02/03/1998	Sugiura, et al.
AC	US-			
AD	US-			
AE	US-			
AF	US-			
AG	US-			
AH	US-			
AI	US-			
AJ	US-			
AK	US-			
AL	US-			

#### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T
		Country Code-Number Kind Code (if known)			
AM					<input type="checkbox"/>
AN					<input type="checkbox"/>
AO					<input type="checkbox"/>
AP					<input type="checkbox"/>

#### OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published	T
AQ			<input type="checkbox"/>
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Examiner Signature		Date Considered
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